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Applicant: Thomas, Lawrence J.

Serial No.: 09/845,511

Filed: April 30, 2001

Entitled: PLASMID-BASED VACCINE FOR
TREATING ATHEROSCLEROSIS

Attorney Docket No.: TCS-414.2P US-1

Examiner: not yet assigned

Art Unit: 1614

Assistant Commissioner for Patents
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office. A listing of the cited documents is also enclosed, as well as, for the Examiner's convenience, copies of the documents in the list. Pursuant to 37 C.F.R. § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

US Patents

US 5,242,687; issue date 07 September 1993; Tykocinskli et al.
US 5,338,829; issue date 16 August 1994; Weiner et al.
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Foreign Patent Applications

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PCT, Publication No. WO 90/15627. Publication Date 27 December 1990.
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Applicants do not intend to represent that any of the documents submitted herein is material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicants respectfully request that the documents submitted herein be considered and made of record in this application.

Respectfully submitted,

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Thomas R. Berka, Ph.D.

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CERTIFICATE OF MAILING

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Modified FORM PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	TCS-414.2P US-1	09/845,511
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISLOSURE STATEMENT <small>(Use several sheets if necessary)</small>	APPLICANT Thomas, Lawrence J.	
	FILING DATE April 30, 2001	GROUP (not yet assigned)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	US 5,242,687	09/07/93	Tykocinskli et al.			
AB	US 5,338,829	08/16/94	Weiner et al.			
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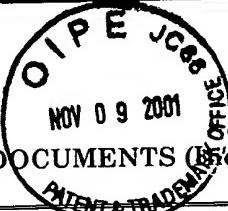
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AD	EP 0 343 460 A2	11/29/89		C07K	7/08	
AE	WO 90/15627	12/27/90		A61K	47/48	
AF	WO 92/10203	06/25/92		A61K	37/22	
AG	WO 93/11782	06/24/93		A61K	37/00	
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AM	Agellon et al., <i>J. Biol. Chem.</i> , 266: 10796 - 10801 (1991)
AN	Aitken et al., <i>Brit. Med. Bull.</i> , 49: 88 - 99 (1993)
AO	Albers et al., <i>Arteriosclerosis</i> , 4: 49-58 (1984)
AP	Alexander et al., <i>Immunity</i> , 1: 751-761 (1994)
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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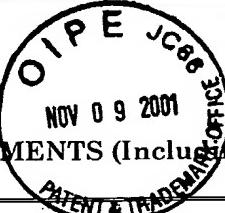
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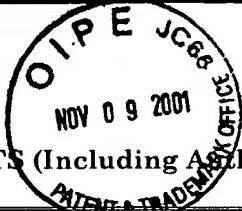


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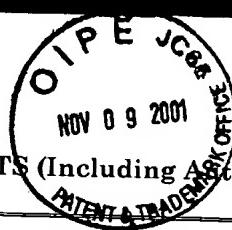
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	DA	Roy, et al., <i>J. Lipid Res.</i> , 37: 22 - 34 (1996)	✓
	DB	Rye et al., <i>J. Biol. Chem.</i> , 270: 189-196 (1995)	✓
	DC	Sad et al., <i>Immunol.</i> , 76: 599-603 (1992)	✓
	DD	Stern et al., <i>Nature</i> , 368: 215-221 (1994)	✓
	DE	Suckling, <i>Bio/Technology</i> , 12: 1379-1380 (1994)	✓
	DF	Swenson et al., <i>J. Biol. Chem.</i> , 264: 14318-14326 (1989)	✓
	DG	Swenson et al., <i>J. Biol. Chem.</i> , 263: 5150-5157 (1988)	✓
	DH	Tall, <i>J. Lipid Res.</i> , 34: 1255-1274 (1993)	✓
	DI	Tall, <i>J. Clin. Invest.</i> , 89: 379-384 (1990)	✓
	DJ	Tall, <i>J. Internal Med.</i> , 237: 5-12 (1995)	✓
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	DP	Travis, <i>Science</i> , 262: 1974-1975 (1993)	✓
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	DR	Wang et al., <i>J. Biol. Chem.</i> , 270: 612-618 (1995)	JAN 08 2002 RECEIVED USPTO CENTER 1600/2900
	DS	Wang et al., <i>Science</i> , 254: 285-288 (1991)	
	DT	Wang et al., <i>J. Biol. Chem.</i> , 268: 1955-1959 (1993)	
	DU	Wang et al., <i>J. Biol. Chem.</i> , 267: 17487-17490 (1992)	
	DV	Watanabe et al., <i>Proc. Natl. Acad. Sci. USA</i> , 89: 5103-5107 (1992)	
	DW	Watson et al., <u>Molecular Biology of the Gene</u> , 4 th ed., (The Benjamin/Cummings Publishing Company, Inc., Melo Park, CA., 1987) page 836	
	DX	Wedrychowski et al., <i>Biotechnology</i> , 11(4): 486-489 (1993)	
	DY	Weisman et al., <i>Science</i> , 249: 146-151 (1990)	
	DZ	Whitlock et al., <i>J. Clin. Invest.</i> , 84:129-137 (1989)	
	EA	Yeh et al., <i>J. Immunol.</i> , 146: 250-256 (1991)	
	EB	Yen et al., <i>J. Clin. Invest.</i> , 83: 2018-2024 (1989)	
	EC	Zannis et al., "Genetic mutations affecting human lipoproteins, their receptors, and their enzymes", <u>Advances in Human Genetics</u> , Vol. 21, pp. 145-319 (Plenum Press, New York, NY., 1993)	
	ED	Zegers et al., <i>Eur. J. Immunol.</i> , 23: 630-634 (1993)	
	EE	Zhang et al., <i>Cell</i> , 1: 751-761 (1994)	
EXAMINER		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609.
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant

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